

# The relationship between scientists' research performance and the degree of internationalization of their research

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**Abstract** Policy makers, at various levels of governance, generally encourage the development of research collaboration. However the underlying determinants of collaboration are not completely clear. In particular, the literature lacks studies that, taking the individual researcher as the unit of analysis, attempt to understand if and to what extent the researcher's scientific performance might impact on his/her degree of collaboration with foreign colleagues. The current work examines the international collaborations of Italian university researchers for the period 2001–2005, and puts them in relation to each individual's research performance. The results of the investigation, which assumes co-authorship as proxy of research collaboration, show that both research productivity and average quality of output have positive effects on the degree of international collaboration achieved by a scientist.

**Keywords** Research collaboration · Internationalization · Research performance · University · Bibliometrics · Italy

## Introduction

Collaboration in research activity has been the norm for many years,<sup>1</sup> particularly in universities, which have the role and mission of sharing knowledge. Collaboration is held

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<sup>1</sup> Research collaboration appears to be the rule and not the exception (Katz 2000).

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as something to be encouraged,<sup>2</sup> and over the years there has in fact been a trend towards its increase. Hicks and Katz (1996) examined UK publications in the period 1981–1991, and showed that, not only did the number of co-authors per article increase but also that the number of institutionally co-authored publications increased, both as a number and as a percentage of the total. In 1994, 88% of all UK academic publications involved two or more authors and 55% involved two or more institutions (Katz 2000). A more recent and more general investigation confirms that the percentage of co-authored publications is increasing over time (Schmoch and Schubert 2008).

This phenomenon can be linked to a number of factors, including the implementation of specific policies favoring research collaboration, at various levels. At the international level, it is sufficient to note the EU Research Framework Programmes, offering incentives for the research organizations of EU states to carry out cross-national research projects. The increasing costs of research and the complexity of certain undertakings prevent individual researchers, institutions and even nations from taking on certain themes alone (either completely or in an efficient manner). Meanwhile, progress in information and communications technologies, the advent of the internet above all, reduction in transportation costs, and sharpening competition at the local and global levels, with resulting needs for specialization, have all clearly contributed to the increasing adoption of international collaboration in research activity.

The literature on this trend is rich. At the level of world-wide analysis, Zitt and Bassecoulard (2004) examined data from the Science Citation Index (now merged into the Thomson Reuters Web of Science, or WoS) and showed that the percentage of publications realized through international collaboration amounted to less than 10% in 1990, compared to almost 20% of total publications in 2000. Archibugi and Coco (2004) showed that, between 1986 and 1999, “the number of internationally co-authored papers has at least doubled, and in some countries it has tripled”. In the case of collaboration involving a specific nation, Schmoch (2005) shows that between 1990 and 2003 the share of German publications co-authored by scientists from other countries grew from 19 to 40%.

The current work inserts in this theme of research on international university collaboration, examining the link between the extent of internationalization of scientific product by a researcher and his or her performance in research. The study is different from those available in the literature, particularly in terms of the unit of analysis: the scientist, rather than the research product. Until now, the positive effect of research collaboration on individual performance has generally been assumed as a given, rather than being tested in any kind of manner (He et al. 2009).<sup>3</sup>

A number of studies based on variously sized sets of publications show that the products originating from research collaborations are characterized by better quality, as measured by citations received or impact factor of the relevant journals. For example, Abramo et al. (2009) report that cross-institution publications by Italian universities for the 2001–2003 triennium were published on average in journals with higher impact factor, when compared to publications from single-institution collaborations. Considering international collaborations, Glänzel and De Lange (2002) analyzed the scientific product of the most active 30

<sup>2</sup> It is widely assumed that collaboration in research is ‘a good thing’ and that it should be encouraged (Katz and Martin 1997).

<sup>3</sup> On one hand, research collaborations are generally undertaken and encouraged because they are viewed as advantageous. On the other hand, and in the direction of causality which this work intends to analyze, the researchers involved in international collaborations could be those with a higher level of performance, who, in virtue of their greater notoriety, experience greater facility in initiating collaborations with foreign colleagues.

countries in the life sciences in 1995–1996 and show how, although there are differences according to the science field considered, cross-national publications are generally more cited than those realized by researchers from the same nation. A recent study (Suárez-Balseiro et al. 2009), analyzing co-authored articles from Puerto Rico for the years 1980–1999, illustrates that cross-national publications show better positioning in terms of “visibility” (i.e. the rank of the journal where the paper was published in the respective subject area, according to its impact factor), than those originating from both “domestic” cross-institution collaboration and from simple intra-mural collaborations. In the current study we will analyze the link between research performance of a scientist, measured by the bibliometric method, and the degree of internationalization of his or her scientific activity, using co-authorship of scientific publications with foreign authors as a proxy of international collaboration. In particular, after an introductory descriptive analysis of international collaborations, we attempt to answer the following questions:

- Is there a relationship between the degree of international collaboration and the research performance of a university scientist?
- Which of the two indicators of performance, productivity or average quality of scientific product, has the greater impact on intensity and propensity to collaborate at an international level?
- Do the above relationships present differences among the scientific disciplines?

To provide a robust response, the analysis will examine all Italian universities (82) and all the hard sciences, for a total of approximately 26,000 research staff. The analysis will examine scientific product for the period 2001–2005, as censused by the WoS, for a total of over 124,000 publications. The investigation will be conducted at the level of scientific sector in order to avoid distortions due to aggregate measures (Abramo et al. 2008a). All the warnings and cautions pertinent to the limits of the bibliometric approach (van Raan 2005) apply to the interpretation of the findings of this study.

The next section of this work describes the methodological approach used. “[General analysis](#)” section presents a descriptive analysis of the international collaborations for the population observed. “[Research performance and international collaboration](#)” section reports the results of the investigation. The concluding section comments on the results and indicates possible directions in future research.

## Methodology, dataset and indicators

To analyze the link between performance of individual scientists and the degree of internationalization of their research activity, it is first necessary to characterize the researchers for these two dimensions. The complex nature of the phenomena to be examined requires careful choices: in analytical methodology, type and size of the field of observation, indicators. Each decision involves other lesser choices.

### Methodological approach

Research activities resemble a type of input–output process (Moravcsik 1985), in which the inputs consist of human and financial resources, while outputs have a more complex character, of both tangible (publications, patents, conference presentations, etc.) and intangible nature (personal knowledge, consulting activity, etc.). In terms of output, there are not only many forms for codifying new knowledge, but these are also adopted with

differing intensity among the various disciplines. As a particular example, the intensity of publishing research articles in journals varies significantly among subject categories; and so does the coverage of these articles in international bibliometric databases (e.g. Thomson Reuters WoS and Elsevier Scopus). However, limiting the field of investigation to the hard sciences, the literature certainly gives ample justification for the choice of scientific publication as proxy of research output (Moed et al. 2004).

The approach used in the current work is thus decidedly bibliometric, in this case based on the co-authorship of publications in international journals.

The principle limitations of such an approach are that scientific collaborations do not always lead to publication of results and that co-authorship of a publication does not necessarily indicate real collaboration (Melin and Persson 1996; Katz and Martin 1997; Laudel 2002). However, co-authored publication remains one of the most tangible and best documented indicators of research collaboration (Subramanyam 1983; Katz and Martin 1997; Glänzel and Schubert 2004).

#### Data, sources and field of observation

The data used in this study are obtained from the Observatory on Public Research in Italy (ORP), a bibliometric database developed by the authors. The database is derived from the WoS, and provides a census of the scientific products since 2001 by all research organizations situated in Italy. From the ORP we extracted all publications authored by Italian universities (for the period 2001–2005 there are 147,000 such publications). Through the application of a complex algorithm for the identification of addresses and the disambiguation of the true identity of the authors (Abramo et al. 2008b for details), it is possible to accurately attribute each publication to the university scientists that produced it.<sup>4</sup> Under the Italian university system, each scientist belongs to a so-called “scientific disciplinary sector” (SDS). Each SDS in turn forms part of a “university disciplinary area” (UDA). The hard sciences are composed of 9 UDAs<sup>5</sup> and 205 SDSs. The attribution of each publication to its authors and the link between each author and his or her unique SDS permits the attribution of each publication to the SDSs of its authors, and thus the identification of differences that occur between scientific sectors, both in intensity of publication and in collaboration (Abramo et al. 2008a).

To obtain a more reliable representation of the phenomenon under investigation, the analysis is limited to the 165 hard science SDSs in which at least 50% of the member scientists published at least one article in the period under consideration. Further, for greater robustness, the dataset excludes those scientists who entered or left the university

<sup>4</sup> For the 147,000 Italian academic publications indexed in the ORP between 2001 and 2005, the statistic harmonic average of precision and recall (F-measure) of authorships as disambiguated by our algorithm is around 95% (sampling error of 2%, confidence level of 98%). When one observes large populations of scientists, the number of homonyms is very high (in the Italian academic system 12% of the 60,000 scientists are affected by homonymy) and their disambiguation within acceptable margins of error is a truly formidable task. According to Zitt and Bassecouard (2004): “Unification of authors’ family names, of institutions such as labs, of lexical forms—in order to avoid synonymy or homonymy *latu sensu*—can be a bibliometrician’s nightmare”. This is why bibliometrics-based studies are generally carried out at aggregated levels of analysis, such as university levels. When they are conducted at single scientist level or research group, they are limited to one or few organizations or scientific disciplines. In that case it is possible to disambiguate manually. Considering the vast field of observation, the error levels in the dataset used in this study appear more than acceptable.

<sup>5</sup> Mathematics and computer sciences; physics; chemistry; earth sciences; biology; medicine; agricultural and veterinary sciences; civil engineering and architecture; industrial and information engineering.

system in the period under observation, or who changed SDS or university in this period. It results that there were 26,273 scientists who held a stable faculty position over the observed period, in the 165 SDSs, as indentified from the census of the CINECA database of the Italian Ministry of University and Research.<sup>6</sup> These scientists produced a total of 128,487 publications indexed in the WoS.

To give an idea of the obstacles overcome and the scope of our field of observation, we note the statement by van Raan (2008), one of the world's leading bibliometricians, concerning a dataset consisting of the WoS-listed publications (18,000) of all chemistry scholars (700) in 10 Dutch universities: "This material is quite unique. To our knowledge, no such compilations of very accurately verified publication sets on a large scale are used for statistical analysis of the characteristics of the indicators at the research group level".

## Indicators

To examine the link between individual scientists' research performance and the degree of internationalization of their activity, six indicators are defined: three for research performance and three for degree of internationalization.

### *Performance indicators*

The research performance of a scientist is measured along two dimensions: productivity and average quality of the research product. The first two of the following indicators concern productivity and the third indicator concerns quality:

- Productivity (P): total of publications authored by a scientist in the period under observation;
- Fractional productivity (FP): total of the contributions to publications authored by a scientist, with "contribution" defined as the reciprocal of the number of co-authors of each publication;
- Average quality (AQ): the quality of each publication is proxied by citations of that publication divided by the average number of citations of all publications of the same type (article or review), in the same year and falling in the same subject category. For example, a value of 1.40 indicates that the publication was cited 40% more often than the average. The average quality equals the sum of standardized citations of all publications by an author divided by the number of his/her publications.

### *Indicators of internationalization*

For the other characteristic involved in the analysis, internationalization of research by a scientist, three dimensions are identified: (i) intensity, measured by the number of cross-national publications in the period under investigation; (ii) propensity, or the ratio of cross-national publications to total publications; (iii) amplitude, or number of nations involved in the cross-national publications. The respective indicators are:

- International collaboration intensity (ICI): total of publications authored by a scientist in co-authorship with at least one researcher from a foreign organization in the period under observation;

<sup>6</sup> <http://cercauniversita.cineca.it/php5/docenti/cerca.php>.

- International collaboration rate (ICR): percent ratio of ICI to P;
- International collaboration amplitude (ICA): total of foreign nations represented in a cross-national publication by a given scientist.

## General analysis

Before responding to the specific research questions of this study, we provide a descriptive analysis of the degree of internationalization of research activity by Italian scientists, from a geographic and disciplinary viewpoint. Of the 128,487 publications realized by researchers in the dataset over the five years considered, 41,445 were cross-national publications (32.3% of the total). This co-authorship involved 160 foreign nations, as shown in Table 1.<sup>7</sup> The USA is listed first, with 12,560 publications, equal to 30.3% of all Italian cross-national publications. After the USA, the top ten nations collaborating with Italian scientists include Switzerland (sixth position), Russia (eighth) and Canada (ninth), while the remaining top ten are all nations of the European Union, and thus “closer” to Italy, in geo-political terms.

Olmeda Gomez et al. (2009) carried out a similar analysis for Spanish universities, using WoS data for the 5-year period 2000–2004. Spanish universities, analogous to those in Italy, realized 30% of their international articles in collaboration with the USA. Further, over 85% of their publications list at least one institution from another EU member state in the WoS “address” field. In the Italian case, this percentage decreases to about 62%.

Table 2 presents the international collaboration intensity and rate for each UDA.<sup>8</sup>

It can be seen that medicine, physics and biology are the UDAs with the highest number of cross-national publications, while physics, earth sciences and mathematics and computer sciences are those with the highest percentage of cross-national publications out of the total publications for their area.

In the following tables, the level of analysis is deepened to the individual SDSs. Table 3 presents, for each UDA under examination, the SDS with the highest international collaboration rate and the SDS with the lowest ICR, while Table 4 presents the top 10 SDS for ICR, independent of the UDAs to which they belong.

Both tables illustrate a high international collaboration rate in physics. In Table 3 it can be seen that for this UDA, the SDS with the lowest ICR (FIS/06, at 37.5%) still presents a value higher than all the SDSs of civil engineering and architecture and of medicine, and only slightly lower than the maximum registered in chemistry (CHIM/11, 38%). Note that findings presented in Tables 2 and 3 are in accordance with those of Abt (2007).

Among the top ten SDSs for ICR (Table 4), four are from physics (positions 1, 2, 6, 7) and three are from earth sciences (positions 4, 9, 10). Chemistry, biology, medicine and civil engineering and architecture do not rank any SDSs among the top 10 for ICR.

Concerning the amplitude of international collaboration, it can be seen that 72.4% of the cross-national publications involve a single foreign nation (Table 5). However, in 17.9% of

<sup>7</sup> Note that the total of column 2 (62,676) is greater than the overall number of publications realized in international co-authorship (41,445), since a publication can be co-authored with more than one foreign nation.

<sup>8</sup> Analogous to the preceding analysis of foreign nations involved, here each publication is counted under each UDA with at least one co-author member. For example, a publication realized by a one researcher in Physics and three in Chemistry is counted (once) for both of these UDAs.

**Table 1** Classification of foreign nations by number of publications realized in co-authorship with Italian university researchers, 2001–2005

Country	Total publications	Incidence (%) in total Italian cross-national publications
USA	12,560	30.3
France	6,646	16.0
Germany	5,831	14.1
UK	5,772	13.9
Spain	3,518	8.5
Switzerland	2,438	5.9
Netherlands	2,315	5.6
Russia	1,671	4.0
Canada	1,487	3.6
Belgium	1,458	3.5
Other Europe	11,233	27.1
Other Americas	1,847	4.5
Japan	1,369	3.3
China	555	1.3
India	349	0.8
Other Asia	1,782	4.3
Australia	964	2.3
Other Oceania	166	0.4
Africa	711	1.7
Total	62,676	

**Table 2** International collaboration rate per UDA

UDA	Total publications		ICI		ICR (%)	
	Value	Rank	Value	Rank	Value	Rank
Mathematics and Computer Sciences	11,823	6	4,043	5	34.2	3
Physics	18,470	4	8,887	2	48.1	1
Chemistry	21,883	3	7,017	4	32.1	4
Earth Sciences	3,468	8	1,375	8	39.6	2
Biology	23,916	2	7,283	3	30.5	5
Medicine	40,301	1	10,077	1	25.0	7
Agricultural and Veterinary Sciences	6,641	7	1,591	7	24.0	9
Civil Engineering and Architecture	2,165	9	581	9	26.8	6
Industrial and Information Engineering	15,833	5	3,837	6	24.2	8

the cases, the co-authorship involves at least two foreign nations. The cases with involvement of more than five foreign nations represent less than 2% of the total.

Table 6 presents the data concerning the amplitude of international collaboration, per UDA. Physics is characterized by the lowest percentage of collaborations limited to one foreign nation (63.1%), while civil engineering and architecture show the highest (85.9%). Medicine shows the highest percentage (7.2%) of publications with more than four foreign

**Table 3** SDS with the lowest and highest ICR within each UDA

UDA	SDS with the lowest ICR (%)		SDS with the highest ICR (%)	
Mathematics and Computer Sciences	INF/01	29.5	MAT/01	46.5
Physics	FIS/06	37.5	FIS/05	65.2
Chemistry	CHIM/12	14.6	CHIM/11	38.0
Earth Sciences	GEO/05	18.4	GEO/12	52.8
Biology	BIO/03	22.8	BIO/08	42.3
Medicine	MED/21	9.7	MED/03	36.0
Agricultural and Veterinary Sciences	VET/10	10.6	AGR/05	61.3
Civil Engineering and Architecture	ICAR/03	17.5	ICAR/02	34.6
Industrial and Information Engineering	ING-IND/17	10.6	ING-IND/20	50.8

**Table 4** Top 10 SDSs for international collaboration rate

SDS	UDA	ICR (%)
FIS/05	Physics	65.2
FIS/04	Physics	61.6
AGR/05	Agricultural and Veterinary Sciences	61.3
GEO/12	Earth Sciences	52.8
ING-IND/20	Industrial and Information Engineering	50.8
FIS/01	Physics	47.3
FIS/02	Physics	46.9
MAT/01	Mathematics and Computer Sciences	46.5
GEO/01	Earth Sciences	45.8
GEO/07	Earth Sciences	45.5

**Table 5** Number of foreign nations involved in cross-national publications

	Number of foreign countries involved	Number of publications	Cross-national publications (% on total)
1		29,998	72.4
2		7,430	17.9
3		1,967	4.7
4		787	1.9
5		452	1.1
More than 5		149	1.8

nations, while mathematics and computer sciences show the lowest (0.4%). Considering a number of foreign nations greater than or equal to three, the UDAs showing the highest percentages are medicine (15.6%) and physics (13.8%). These same two UDAs also hold the top two positions for average number of foreign nations involved per article: 1.8 for medicine, 1.6 for physics. In reality, these areas are characterized by their broad collaboration platforms dedicated to specific large projects, for example in genome and photon studies, involving researchers and organizations from many nations.



**Table 6** Percentage of cross-national publications by number of nations involved: analysis at the level of UDA

UDA	Number of foreign countries involved					Mean
	1	2	3	4	>4	
Mathematics and Computer Sciences	83.2	14.0	2.2	0.3	0.4	1.2
Physics	63.1	23.1	7.5	3.0	3.3	1.6
Chemistry	78.4	16.9	3.4	0.7	0.5	1.3
Earth Sciences	73.0	18.8	3.8	1.8	2.6	1.5
Biology	75.8	17.2	4.2	1.4	1.5	1.4
Medicine	67.7	16.7	5.5	2.9	7.2	1.8
Agricultural and Veterinary Sciences	74.9	15.2	4.2	2.3	3.5	1.5
Civil Engineering and Architecture	85.9	11.0	1.9	0.7	0.5	1.2
Industrial and Information Engineering	81.2	13.7	3.2	0.7	1.2	1.3

**Table 7** ICI and ICR time series

	2001	2002	2003	2004	2005	2001–2005
Total publications	22,809	24,210	26,046	27,175	28,247	128,487
ICI	7,170	7,711	8,322	8,833	9,409	41,445
ICR (%)	31.4	31.9	32.0	32.5	33.3	32.3

The time series for the entire Italian university product, seen in Table 7, confirms the increasing trend for international collaboration. The percentage of cross-national publications has increased in each of the five years under examination, going from 31.4% in 2001 to 33.3% in 2005.

Table 8 presents an analysis of the trend at the level of UDA. It is quite clear that the general growth noted in Table 7 is highly conditioned by data from Medicine, the most sizeable area in dimension, with over 40,000 publications realized in the five-year period, or 28% of the total (see Table 2). In fact, in this UDA, the percentage of cross-national publications increased in every year, going from 23.1% in 2001 to 27.1% in 2005.

**Table 8** International collaboration rate time series for each UDA

UDA	2001	2002	2003	2004	2005	2001–2005
Mathematics and Computer Sciences	32.7	34.1	35.7	34.4	33.9	34.2
Physics	48.5	47.8	47.8	47.6	48.9	48.1
Chemistry	31.3	31.1	30.5	33.3	33.9	32.1
Earth Sciences	41.0	39.7	37.6	43.7	36.5	39.6
Biology	30.5	30.2	29.6	30.2	31.6	30.4
Medicine	23.1	24.3	24.6	25.4	27.2	25.0
Agricultural and Veterinary Sciences	25.6	26.1	22.8	22.9	23.4	24.0
Civil Engineering and Architecture	23.3	28.7	28.9	24.2	28.4	26.8
Industrial and Information Engineering	25.1	24.0	23.5	23.4	25.2	24.2

## Research performance and international collaboration

This section attempts to provide an answer to the research questions posed in the current study. For this purpose we use the data concerning the six indicators defined in “[Indicators](#)” section, as measured for each of the 21,504 researchers in the dataset resulting as authors of at least one publication in the WoS over the 5-year period under observation (descriptive statistics are presented in [Table 9](#)). We will conduct a first analysis of correlation between degree of internationalization of the research activity of specific individuals and their scientific performance, then conduct a detailed analysis of if (and to what extent) the intensity ([Research performance and intensity of international collaboration](#) section) and propensity ([Research performance and rate of international collaboration](#) section) for international collaboration of a researcher is influenced by his/her research performance.

As we would expect ([Table 10](#)), the correlation analysis shows a strong link between productivity and international collaboration intensity (Spearman correlation coefficient of 0.653): as the number of publications by an individual scientist increases so does the number of cross-national publications. Similar results are seen for FP, or normalizing the productivity relative to the number of coauthors of the publications: as could be expected, in this case the correlation is slightly lower (0.566). The correlation between ICI and average quality of scientific outputs AQ is also significant (0.380), though not strong.

The correlation between productivity and ICR, while again significant, is quite weak (0.345 for productivity and 0.289 for fractional productivity). The greater or lesser propensity to collaborate with other nations is little correlated to the mass of publications realized. Correlation with average quality is still weaker (0.286).

International collaboration amplitude is strongly correlated to productivity (0.616) and fractional productivity (0.522), as would be reasonable to expect, but much less to average

**Table 9** Descriptive statistics of indicators recorded for the dataset (21,504 total observations)

Index	Min	Max	Mean	Median	SD	Skewness
P	1	247	10.20	7	11.61	3.71
FP	0.02	57.8	2.20	1.43	2.52	3.98
AQ	0	10.2	0.69	0.59	0.55	2.93
ICI	0	167	2.71	1	5.33	6.17
ICR	0	1	0.22	0.12	0.27	1.27
ICA	0	36	1.81	1	2.77	2.91

**Table 10** Spearman correlation between indicators used

	P	FP	AQ	ICI	ICR	ICA
P	1	0.885*	0.409**	0.653**	0.345**	0.616**
FP		1	0.302**	0.566**	0.289**	0.522**
AQ			1	0.380**	0.286**	0.379**
ICI				1	0.886**	0.951**
ICR					1	0.858**
ICA						1

Statistical significance: \*  $p < 0.05$ , \*\*  $p < 0.01$

quality (0.379). The same is true for international collaboration intensity. On the other hand, ICI and ICA are strongly correlated: with increasing number of publications authored with foreign colleagues there is also an increase in the number of nations in which these foreign co-authors work. In reality, the value of 0.951 in Table 10 is conditioned by observations of nil value (a researcher that does not collaborate internationally will have nil values for both ICI and ICA). But even excluding such observations from the dataset, the Spearman correlation for ICI and ICA still remains strong (0.761) Further correlation analysis between ICA and the performance indicators would thus be redundant, therefore ICA is excluded from further analysis.

### Research performance and intensity of international collaboration

Various regression analyses are applied to determine if the research performance of a university scientist (independent variable) impacts on international collaborations (dependent variable). First, an attempt is made to determine to what extent the intensity of international collaboration by individual scientists depends on their productivity and on the average quality of their scientific product. Several models are used to attempt to respond to this question: binary logistic, Poisson and negative binomial. Since these provide quite similar results, we present only the results from binary logistic analysis,<sup>9</sup> in which the dependent variable ICI is assumed to have a value of one if the scientist has realized at least one cross-national publication, otherwise as nil. The results are presented in Tables 11 and 12.

The good fit of the model is shown by the values of the coefficient of determination (Mc-Fadden's pseudo-R<sup>2</sup>), the area under the ROC curve, and the percentage of correctly classified. The general statistics and those for single regressors (column 5 of both Tables) show the reliability of the model in representing the link between the observed dependent variable and the independent variables selected. The signs of the coefficients indicate a positive relation between regressors and the dependent variable.

In Table 11, analysis of the standards coefficients shows that P (2.017) has much more weight than AQ (0.353) in determining the probability of collaboration at an international level. For a standard deviation increase in P, the odds of having realized at least one cross national publication are 7.521 times greater, holding the other variable constant; instead for a standard deviation increase in AQ, the odds are 1.424 times greater. With an increase in P from its minimum to maximum  $\Pr(\text{ICI} = 1)$  increases by 0.696, while with the same increase in AQ  $\Pr(\text{ICI} = 1)$  increases by 0.417.

The same occurs with the model in Table 12, where FP replaces P. Analysis of the standards coefficients shows that P (1.422) has much more weight than AQ (0.491) in determining the probability of collaboration at an international level.

### Research performance and rate of international collaboration

The second research hypothesis that we wish to test concerns the possibility that the propensity for international collaboration (represented by ICR) does (or does not) depend on the general research performance of a scientist. Clearly, since the value of the dependent variable (ICR) falls between 0 and 1, we can not use the binary logistic model,

<sup>9</sup> Of the models analyzed, this one presents the lowest value of Akaike IC and highest value of log-likelihood.

**Table 11** Binary logistic regression of international collaboration intensity versus performance indicators (P and AQ)

	$\beta$	SE	z	P >  z	$\beta^{sx}$	$e^{\beta^{sx}}$	Change of Pr(Y = 1)
P	0.174	0.003	52.34	0.000	2.017	7.521	0.696
AQ	0.640	0.033	19.42	0.000	0.353	1.424	0.417
Cons	-1.443	0.032	-45.30	0.000			

Number of obs: 21,504; LR  $\chi^2$  (2): 6341.16; Prb >  $\chi^2$ : 0.0000; Mc Fadden's R<sup>2</sup>: 0.2169; Log likelihood: -11455.8; Akaike IC: 22897; Area under ROC (0.8094)

$\beta^{sx}$ , x-standardized coefficient

**Table 12** Binary logistic regression of international collaboration intensity versus performance indicators (FP and AQ)

	$\beta$	SE	z	P >  z	$\beta^{sx}$	$e^{\beta^{sx}}$	Change of Pr(Y = 1)
FP	0.565	0.125	45.39	0.000	1.422	4.147	0.654
AQ	0.889	0.034	26.03	0.000	0.491	1.634	0.504
cons	-1.261	0.031	-40.50	0.000			

Number of obs: 21,504; LR  $\chi^2$ (2): 4833.42; Prb >  $\chi^2$ : 0.0000; Mc Fadden's R<sup>2</sup>: 0.1653; Log likelihood: -12199.7; Akaike IC: 24405; Area under ROC (0.7769)

as it would give exactly the same results as illustrated in the preceding section. For the same reason, the other models previously noted (Poisson and negative binomial) are not suitable.<sup>10</sup> The ordered logistic regression, through the categorization of the dependent variable, instead permits a discretization and normalization of the values for the dependent variable that, as we will see, assures a good fit of the data for the purposes of the current analysis. In this case, we consider an ordered logistic regression in which the dependent variable is an ordinal category that can take five values:

- 0 if ICR is nil;
- 1 if ICR is between 0.01 and 0.25;
- 2 if ICR is between 0.251 and 0.5;
- 3 if ICR is between 0.501 and 0.75;
- 4 if ICR is between 0.751 and 1.

The results of the regression are presented in Table 13: ordered logistic regression of international collaboration rate versus performance indicators (P and AQ).

The international collaboration rate shows significant positive dependence for both P and AQ (Table 13). From the standardized coefficient it can be observed that P (0.448) has slightly greater weight on the dependent variable than does AQ (0.422). For a standard deviation increase in P, the odds of having higher international collaboration rates increase by a factor of 1.565, holding the other variable constant; for a standard deviation increase in AQ, the odds increase by a factor of 1.525.

<sup>10</sup> These models suit count data.

**Table 13** Ordered logistic regression of international collaboration rate versus performance indicators (P and AQ)

	$\beta$	SE	z	P >  z	$\beta^{sx}$	$e^{\beta^{sx}}$
P	0.039	0.001	32.93	0.000	0.448	1.565
AQ	0.764	0.026	29.33	0.000	0.422	1.525
/cut1	0.592	0.023				
/cut2	1.729	0.026				
/cut3	2.973	0.032				
/cut4	3.883	0.038				

Number of obs: 21504; LR  $\chi^2$  (2): 2788.52; Prb >  $\chi^2$ : 0.0000; Mc Fadden's R<sup>2</sup>: 0.046; Log likelihood: -28628; Akaike IC: 57268

**Table 14** Change in probabilities for categories of international collaboration versus P and AQ

Min → Max	Change of Pr(Y)				
	0	1	2	3	4
P	-0.507	-0.255	-0.155	-0.046	0.963
AQ	-0.549	-0.241	-0.134	-0.033	0.957

**Table 15** Ordered logistic regression of international collaboration rate vs performance indicators (FP and AQ)

	$\beta$	SE	z	P >  z	$\beta^{sx}$	$e^{\beta^{sx}}$
FP	0.155	0.005	29.49	0.000	0.390	1.478
AQ	0.837	0.026	32.28	0.000	0.462	1.588
/cut1	0.586	0.024				
/cut2	1.711	0.026				
/cut3	2.951	0.032				
/cut4	3.861	0.038				

Number of obs: 21504; LR  $\chi^2$ (2): 2535.61; Prb >  $\chi^2$ : 0.0000; Mc Fadden's R<sup>2</sup>: 0.042; Log likelihood: -28754.4; Akaike IC: 57521

The positive coefficients of P and AQ indicate an increased probability that a subject with a higher score on either independent variable will be observed in a higher category of ICR. This is confirmed by the results shown in Table 14: increasing P from minimum to maximum value produces an increase in Pr(ICR = 4|x) of 0.963, while in category 0 there is a decrease of 0.507. Similar results are also obtained for the variable AQ. However, in the case of FP (Table 15 and Table 16) there is a slight inversion in the results: the weight of AQ (0.462) is slightly greater than that of FP (0.390).

Side analyses do not show substantial differences among the UDAs: the results shown above continue to hold, with only minimal variations, under specific examination for each UDA.

**Table 16** Change in probabilities for categories of international collaboration versus FP and AQ

Min → Max	Change of Pr(Y)				
	0	1	2	3	4
FP	-0.502	-0.254	-0.157	-0.047	0.960
AQ	-0.561	-0.236	-0.132	-0.036	0.965

## Conclusions

The current work takes a bibliometric approach to analyze the link between degree of internationalization of scientific activity and research performance, at the level of individual university researchers.

To obtain a robust response, the analysis is based on the 124,000 WoS-listed publications over the period 2001–2005 from the 26,000 scientists working in the hard science disciplines of the entire Italian university system.

Collaboration in research is increasing over the years. Our elaborations show that the specific case of international collaboration follows the trend, and that in Italy this is particularly due to events in medicine, which is the largest disciplinary area. However, in relative terms, physics shows the highest propensity for international collaboration, with one out of two publications in this area featuring the involvement of foreign authors. Collaborations are often exclusive to two countries: in three quarters of cases, international co-authorships involve only one foreign nation. Arriving at the research questions, the analysis conducted at the level of single researchers shows that the volume of international collaboration is positively correlated to productivity. Such a result appears intuitive: with increasing scientific output by a researcher, there is also an increase of his/her cross-national publications. The correlation between intensity of international collaboration and the average quality of research products by a scientist is not strong. Subsequent regression analyses confirm earlier results.

Productivity has an impact on intensity of international collaboration larger than average quality of scientific product. On the other hand productivity and average quality have a similar weight on the propensity to collaborate with foreign scientists.

The results of the analysis do not change significantly when productivity is standardized for number of coauthors. Further, the results of the general analysis seem to continue to hold when the analysis is conducted at the detailed level of the single disciplinary areas.

However, the results from this aggregate level should be subjected to specific empirical validation. For example, it would be pertinent to examine the situation for specific sub-populations of top scientists, comparing the degree of internationalization of their research activity to that of other colleagues. It would be equally interesting to understand if the difference between quality and productivity in impact on the degree of internationalization varies (or not) depending on the geographic origin of the foreign partner. The authors are currently working on these further questions.

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