

Draft 2023 Guidelines About Apprenticeship/Traineeship Training Delivery

Skills First Program

Department of Education and Training

PURPOSE

These Guidelines detail additional requirements the Training Provider must apply when delivering Skills First subsidised training to Apprentices and Trainees.

These Guidelines must be read in conjunction with the VET Funding Contract (the Contract).

APPRENTICESHIPS AND TRAINEESHIPS IN VICTORIA

Apprenticeships and Traineeships combine paid work with structured training and on the job training to achieve a nationally recognised qualification in a trade or occupation. Apprenticeships and Traineeships can be undertaken on a full-time or part-time employment basis, or as school-based which integrates a part-time Apprenticeship or Traineeship with senior secondary schooling. Employers and their Apprentices and Trainees must enter into a Training Contract as required by the Education and Training Reform Act 2006.

[Australian Apprenticeship Support Network](#) providers (Apprenticeship Network providers) are the first point of contact to help employers and prospective Apprentices and Trainees to establish a Training Contract. Apprenticeship Network providers are funded by the Australian Government to administer Training Contracts and Australian Government incentives. They may also provide advice and support services to employers, Apprentices and Trainees from pre-commencement to completion.

[The Victorian Registration and Qualifications Authority](#) (VRQA) regulates Apprenticeships and Traineeships, including determining which Apprenticeships and Traineeships are available in Victoria (these are called [Approved Training Schemes](#)), approving employers and registering and regulating Training Contracts.

[Apprenticeships Victoria](#) (AV) within the Victorian Department of Education and Training (the department) coordinates the Apprenticeship and Traineeship system in Victoria. AV manages a number of programs to create apprentice opportunities, such as the Big Build Apprenticeships Program, and to support Apprentices, Trainees and their employers through the Apprenticeship Support Officer (ASO) Program and the [Retrenched Apprentices and Trainees Program](#).

[The Apprenticeship Support Officer \(ASO\) Program](#) provides confidential support for Apprentices and employers experiencing workplace, training or personal issues that often affect Apprenticeships. ASOs can also assist Apprentices to contact other services such as Centrelink, the Fair Work Ombudsman and WorkSafe, and to access literacy and numeracy support, drug and alcohol counselling or mental health practitioners. For more information contact the program via 1300 311 820 or apprenticeship.support@education.vic.gov.au.

1. GENERAL OBLIGATIONS

Context

After employing an Apprentice or Trainee, the employer must contact an Apprenticeship Network provider to initiate the signing and registration of the Training Contract. The Apprenticeship Network provider will contact the VRQA to register the Training Contract in [Epsilon](#). Epsilon is Victoria's Apprenticeship and Traineeship register.

This section sets out general obligations related to the registration and regulation of Training Contracts including notifications of specific events that must be made to the VRQA or Apprenticeship Network provider.

Training provider must not impede the employer's obligations

- 1.1 The employer has an obligation under the *Education and Training Reform Act 2006* to:
 - a) arrange for their Apprentice or Trainee to be enrolled with a registered training organisation; and
 - b) have a Training Plan signed and lodged with the Apprenticeship Network providerwithin three months of the Training Contract commencing (or within 2 months for school-based arrangements in accordance with the Approved Training Scheme).

The Training Provider's timeframes must not impede the employer's ability to meet this obligation.
- 1.2 All Approved Training Schemes are available on a full-time basis but can be undertaken part-time, subject to a suitable employment arrangement. The employer is responsible for establishing whether an Apprentice or Trainee is seeking a part-time arrangement, making a suitable arrangement and recording it on the Training Contract. The Training Provider should not develop or market Apprenticeship or

Traineeship programs that impede the employer's ability to comply with the requirement to offer part-time arrangements where this is suitable for the workplace.

The Training Contract

- 1.3 The Training Provider must not commence training before:
- the commencement date of the Training Contract, and
 - registration of the Training Contract by the VRQA (creation of the Epsilon record).
- 1.4 The Training Provider should notify the relevant Apprenticeship Network provider if the time taken to register the Training Contract is delaying the planned commencement of training and request escalation of processing.

Notification of events

- 1.5 The Training Provider must notify the VRQA, Apprenticeships Victoria or the Apprenticeship Network provider of specific events affecting Apprenticeships and Traineeships within the required time frames in paragraphs (a) to (k). Training providers should retain the correspondence or a dated file note in the student file.

Email notifications to the VRQA should be sent to:

vrqa.apprenticeships@education.vic.gov.au

Email notification to AV should be sent to:

apprenticeship.support@education.vic.gov.au

Event:	Action:	Timeframe:
a) The qualification on Epsilon is not appropriate or relevant to the Apprentice or Trainee's work duties	Notify the relevant Apprenticeship Network provider and request that the employer and Apprentice or Trainee vary the Training Contract to reflect a suitable qualification	Immediately
b) The death or serious injury of an Apprentice/Trainee	Notify both the VRQA and Apprenticeships Victoria by email	Immediately
c) An Apprentice or Trainee's non-enrolment	Notify the Apprenticeship Network provider and the employer (and if not accepting enrolment, set the Epsilon record to "POL unknown")	Within 2 weeks
d) A situation which may affect completion of the Training Contract including frequent or prolonged absences	Notify the VRQA by email (after attempting to resolve the matter via contact with the employer and Apprentice/Trainee)	Within 2 weeks
e) Withdrawal/non-start of enrolled Apprentice/Trainee	Notify the Apprenticeship Network provider and the employer	Within 2 weeks
f) Loss of an Apprentice or Trainees' employment	Notify the Apprenticeship Network provider	Within 2 weeks
g) Training is to continue following the loss of an Apprentice or Trainee's employment	Notify the VRQA by email	Within 2 weeks
h) Change of employer for an Apprentice or Trainee	Notify the Apprenticeship Network provider of the change in employment	Within 2 weeks

Event:	Action:	Timeframe:
i) The requirements for issuing of a qualification to any Trainee or Apprentice are met	Notify the VRQA (update the Epsilon database by marking “Successful completion/POL complete”, change the status to “Complete” and enter the actual completion date)	Within 2 weeks
j) Change of address for an Apprentice or Trainee	Notify the Apprenticeship Network provider by email to enable update of Epsilon	Within 2 weeks
k) An employer/supervisor is not allowing the Apprentice/Trainee to be withdrawn from routine work duties for the applicable minimum specified time	If consultation with the employer/supervisor does not resolve the issue, report the non-compliance to the VRQA by email	Within 2 weeks

Australian Apprenticeships incentives

- 1.6 If requested by the employer, the Apprentice or Trainee, an Apprenticeship Support Officer or an Apprenticeship Network representative, the Training Provider should provide supporting documents or assistance to facilitate claims under the Commonwealth’s [Australian Apprenticeships Incentive System](#) (or its predecessor).

2. PLANNING FOR TRAINING

Context

Training Plans are used throughout the Apprenticeship and Traineeship to plan for training and track competency-based progression and completion. Requirements for Apprenticeship and Traineeship Training Plans are set out in Clause 6 of Schedule 1 of the VET Funding Contract.

This section sets out additional requirements for Training Plans. Further requirements for Training Plans for School Based Apprenticeships and Traineeships, including Head Start are set out in Section 6 of these Guidelines.

Planning for training

- 2.1 Where the Pre-Training Review indicates that training in the Approved Training Scheme nominated on the Training Contract is not suitable for the individual or is not relevant to their work duties the Training Provider should contact the Apprenticeship Network provider to facilitate a discussion with the employer and Apprentice or Trainee about changing to a suitable qualification.
- 2.2 Before commencing training, the Training Provider representative must explain the following to the Apprentice or Trainee’s employer or workplace supervisor:
- the responsibilities of the supervisor
 - how competency-based progression and completion works
 - the schedule for planned contacts or visits by the training provider and their purpose
 - the purpose of the Training Plan and how it will be developed
- 2.3 Where the program includes workplace-based training, the Training Provider is required to cover the items in Clause 2.2(a) to (d) in a workplace induction with the Apprentice or Trainee and supervisor.

Developing the Training Plan

- 2.4 The Training Provider must develop a Training Plan in consultation with the Apprentice or Trainee and the workplace supervisor/employer. Once the Training Plan is agreed it must be signed by all parties and submitted to the Apprenticeship Network provider.
- 2.5 The Training Provider should identify elective subjects that are relevant to the work environment and qualification, and contribute to a valid, industry-supported vocational outcome.

- 2.6 The Training Plan must align to the maximum duration of the Apprenticeship or Traineeship in the Approved Training Scheme unless otherwise requested by the employer and Apprentice or Trainee.
- Note** Approved Training Schemes specify longer maximum durations for Apprenticeships and Traineeships undertaken part-time.
- 2.7 The Training Provider must make all reasonable provisions for the Apprentice or Trainee to achieve all competencies required for the Structured Training identified in the Approved Training Scheme by the nominal completion date of the Training Contract. The Training Plan should provide for training at regular intervals across the duration of the Apprenticeship or Traineeship.
- 2.8 For part-time and School Based Apprenticeships and Traineeships, the Training Provider must align training delivery with the minimum hours of training and work required by the [Approved Training Scheme](#)
- 2.9 The Training Provider should agree with the employer the process and timing for confirmation of competence in accordance with Clause 3.7 of these Guidelines and, as applicable, record employer confirmation in the Training Plan.
- 2.10 Where a subject (whether core or elective) is not performed in the workplace, this must be identified in the Training Plan and the Training Provider is not required to seek the employer's confirmation of the Apprentice or Trainee's competency in that subject.

3. DELIVERING STRUCTURED TRAINING AND MONITORING PROGRESS

Context

Employers have an obligation to provide hands-on experience in the competencies set out in an Apprentice or Trainee's Training Plan. They must also allow Apprentices and Trainees to leave work or be withdrawn from regular work duties to participate in Structured Training and learning.

This section sets out requirements for regular contact with the Apprentice and Trainee and their employer or workplace supervisor to monitor progress with training and identify any issues with the workplace arrangements. This section also includes requirements for visits to deliver workplace-based training.

Contacts with the employer to monitor progress

- 3.1 The Training Provider must make a minimum of four contacts per year with the employer to discuss the Apprentice or Trainee's progress against the Training Plan, including confirmation of competence. In person contacts are recommended however, contacts can also be made by email or by phone. The Training Provider must keep a record of the contacts in the student file, such as an email or file note. In the case of Apprentices, these contacts should form part of ongoing communication to-keep the employer up to date with progress in Structured Training.

Visits to deliver workplace-based training

- 3.2 Where a proportion of training and assessment is planned as workplace-based, the Training Provider must make a minimum number of visits to deliver the training and assessment as follows:

Proportion of program's nominal hours to be workplace-based	25% or less	26-50%	51-75%	Greater than 75%
a) Minimum number of visits per year	one	2	3	4

- 3.3 The Training Provider must document visits to deliver workplace-based training, including:
- the training and/or assessment delivered against the competencies in the Training Plan; and
 - the signatures of the employer/workplace supervisor and Apprentice or Trainee and the date.
- 3.4 The visits can also be used to:
- discuss progress against the Training Plan with the Apprentice or Trainee and/or
 - obtain employer sign off on competence.

If the visits are used this way, they may be counted towards the four contacts per year with the employer to monitor progress required by Clause 3.1

Contacts to monitor withdrawal time for workplace-based training and learning

- 3.5 The Training Provider must monitor whether the employer withdraws the Apprentice or Trainee from routine work duties to participate in Structured Training and learning activities according to the minimum times required by [Approved Training Schemes](#). The Training Provider must make monthly contact with both the Apprentice or Trainee and the workplace supervisor to:
- monitor and document the progress of training against the Training Plan;
 - monitor and document the training/learning activities undertaken during the withdrawal time for the previous month; and
 - document the dates and time periods logged as withdrawal time in the previous month.
- 3.6 Where an employer or supervisor is not allowing the Apprentice or Trainee to be withdrawn from routine work duties for the required minimum time, and consultation with the employer or supervisor does not resolve the issue, the Training Provider should report the non-compliance to the VRQA within 2 weeks.

Obtaining employer confirmation of competence

- 3.7 The Training Provider must obtain employer confirmation of competence in the workplace as follows:

Training provider must obtain employer confirmation of competence in the workplace:		In the following form:
a) For a Trainee	Upon completion of training and assessment for the qualification where the Training Provider has assessed the Trainee as competent	In writing (including email) If the employer is a Group Training Organisation (GTO), sign-off must be from the GTO, not the host employer.
b) For an Apprentice	For each competency (or cluster of competencies) where the training provider has assessed the Apprentice as competent	

The Training Provider must retain the employer's written confirmation of the Apprentice or Trainee's competence for audit purposes unless the subject is not performed in the workplace (see Section 2.9).

- 3.8 Some industrial arrangements increase an Apprentice's wages based on the Apprentice's progress through their Apprenticeship (typically at 25, 50 and 75 percent through the Apprenticeship). Most Modern Awards with these provisions require the employer to notify the Training Provider within 21 days if they disagree with the assessment. These industrial arrangements relate to the employment relationship and do not override the department's requirement for Training Providers to continue to seek employer confirmation of competence.

Keeping the Training Plan up to date

- 3.9 The Training Provider must ensure that the Apprentice or Trainee and employer always have access to an up-to-date Training Plan that shows the status of the Apprentice or Trainee's training including:
- the dates of planned and completed training
 - the Apprentice or Trainee's overall progress towards completing the program
 - any endorsed changes to the planned training, for example:
 - a change to a superseding program including any addition of subjects; and
 - a variation request to extend the Training Contract.
- 3.10 If the Apprentice or Trainee has been able to successfully complete competencies ahead of schedule the employer and Apprentice or Trainee can request that the Training Plan be updated to reflect a shorter

duration for the Apprenticeship or Traineeship than originally planned. The Training Provider should retain a signed copy of the request for audit purposes.

4. COMPLETION OF AN APPRENTICESHIP OR TRAINEESHIP

Context

This section describes the administrative practices required to ensure Apprentices and Trainees get credit for the completion of their training and can rely on records of their qualifications being available through the regulator.

Extending the Training Contract

4.1 If the Apprentice or Trainee is unable to complete the Structured Training by the nominal completion date of the Training Contract, the Training Provider should advise the Apprentice or Trainee and their employer that the employer must lodge a [variation request](#) to extend the term of the Training Contract prior to the nominal completion date. The request should be lodged with the VRQA via the relevant Apprenticeship Network provider.

Issuing a qualification

4.2 The Training Provider may only issue the qualification when:

- a) the Apprentice or Trainee has achieved all competencies
- b) the employer has returned written confirmation of the Apprentice or Trainee's competence in the workplace; and
- c) the Training Provider has given clear advice to the employer and Apprentice or Trainee that final confirmation completes the Training Contract.

4.3 Qualifications issued following the completion of an Approved Training Scheme must contain on the certificate below the program title, the words 'achieved through Australian Apprenticeship arrangements'.

4.4 Once the Training Provider has completed an Apprentice in Epsilon the VRQA will send a formal Letter of Completion, followed by a commemorative Trade Paper Certificate.

5. SUPPORT AND TRAINING FOR UNEMPLOYED APPRENTICES OR TRAINEES

Context

This section sets out requirements for support and training when an Apprentice or Trainee becomes unemployed or moves to a new employer.

Training Providers are advised that the [Retrenched Apprentices and Trainees Program](#) assists Apprentices and Trainees who have lost employment to recommence their apprenticeship or traineeship with a new employer.

Unemployed Apprentices

5.1 When an Apprentice becomes unemployed, the Training Provider must notify the Apprenticeship Network provider of the loss of employment and whether the Apprentice has found a new employer.

5.2 If the Apprentice has not found a new employer, the Training Provider should:

- a) encourage the Apprentice to register with the [Retrenched Apprentices and Trainees program](#);
- b) contact the [Apprenticeship Support Officer Program](#) to report the loss of employment and access support to re-engage the Apprentice; and
- c) encourage the Apprentice to access the [Victorian Skills and Jobs Centres](#) for re-employment or further training advice.

5.3 The Training Provider can continue to deliver Structured Training to an unemployed Apprentice (and report the training under the same funding source code) and must notify the VRQA by email that training is continuing.

- 5.4 The Training Provider may provide a result for outstanding subjects after at least two attempts (one verbal and one written) have been made to gain the former employer's confirmation of competence for those subjects. Evidence of these attempts should be kept on file for auditing purposes.
- 5.5 The Training Provider should monitor the progress of unemployed Apprentices to avoid completion of the program before re-engaging with another employer. Apprentices must be advised of the potential negative consequences of completing a qualification outside of an Apprenticeship including exclusion from licensing requirements, reduced employment opportunities and ineligibility for trade papers.

Unemployed Trainees

- 5.6 Where a Trainee becomes unemployed, the Training Provider must notify the Apprenticeship Network provider of the loss of employment and whether the Trainee has found a new employer.
- 5.7 If the Trainee has not found a new employer, the Training Provider should encourage the Trainee to register with the [Retrenched Apprentices and Trainees Program](#).
- 5.8 The Training Provider can continue to deliver Structured Training to an unemployed Trainee, (and report the training under the same funding source code) for three months, or to the end of the enrolment period for which tuition fees have been paid, whichever is the greater.
- 5.9 During this time the Training Provider should provide additional support to help the Trainee to seek re-employment in order to complete their Traineeship, including by encouraging them to access the [Victorian Skills and Jobs Centre](#) for re-employment or further training advice
- 5.10 If, and only as a last resort, a Trainee successfully completes the Structured Training while unemployed, the words 'achieved through Australian Apprenticeship arrangements' should be omitted from the certificate. The Trainee must be made aware of this before completing their training, and that the VRQA will not recognise this as completion of a Traineeship in Victoria. The Training Provider is not required to update Epsilon.

6. SCHOOL BASED AND HEAD START APPRENTICESHIP AND TRAINEESHIP DELIVERY

Context

Apprenticeships or Traineeships can be undertaken as a School Based Apprenticeship or Traineeship (SBAT), integrated into a student's senior secondary program. This includes Head Start Apprenticeship or Traineeship (HSAT) programs. Some programs are not available for SBATs (see the relevant the [Approved Training Scheme](#)).

Head Start is an education pathway for secondary school students that complements school-based education with an Apprenticeship or Traineeship. Head Start provides wrap around supports for students and aims to match students with employers that have genuine workforce needs.

An SBAT is an Apprenticeship or Traineeship undertaken by a student enrolled in a Victorian senior secondary certificate, with at least one day per week timetabled to be spent on the job or in training during the normal school week.

This section sets out requirements for delivering SBATS, including Head Start.

More information about the Head Start program, including contacts, can be found on the [Head Start webpage](#), or contact the program at head.start@education.vic.gov.au

More information about delivering SBATs can be found on the [department's website](#).

SBAT

- 6.1 The Training Plan must be developed by the Training Provider, together with the employer and the Apprentice or Trainee and in consultation with the secondary school.
- 6.2 The Training Provider must ensure that the Training Plan reflects the requirements of the [Approved Training Scheme](#) and VRQA [policy on minimum hours](#) per week of employment and training.
- 6.3 An authorised representative of the student's school must sign the Training Plan to confirm:
 - a) the student is enrolled in VCE, VCE Vocational Major (VCE VM), Victorian Pathways Certificate (VPC) or VCAL;

- b) the student's study, training and work commitments form an integral part of that student's school learning program and study timetable and are consistent with the student's career aspirations as outlined in their career action plan; and
 - c) the Training Plan will not be detrimental to the student's overall education.
- 6.4 The Training Provider's timeframes must not impede the ability of the school representative to sign the Training Plan within two months of the commencement of the Training Contract.
- 6.5 The Training Provider must provide a copy of the signed Training Plan to the relevant Apprenticeship Network provider.
- 6.6 The Training Provider must provide the known results for SBAT subjects for the current enrolment year to the school one week prior to the October cut-off date to enable schools to enter results on the Victorian Assessment Software System (VASS) in a timely manner. The exact cut-off date each year is published in the Victorian Curriculum and Assessment Authority (VCAA) Administrative Handbook on the [VCAA website](#).
- 6.7 The Training Provider should consult the school about any proposed amendments to the Training Plan that may affect the Apprentice's or Trainee's senior secondary study program, including awarding of credit.
- Note:** School students who undertake an Apprenticeship or Traineeship that is not integrated into their VCE, VCE VM, VPC or VCAL learning program and study timetable are undertaking a part-time Apprenticeship or Traineeship. This type of arrangement will still provide credit for VCE, VCE VM, VPC or VCAL if it is recorded on VASS but does not require school endorsement. These arrangements are at an individual student's discretion and are not government subsidised.

HSAT

- Note:** A HSAT is an SBAT undertaken by a student who is enrolled in senior secondary certificate program in a government senior secondary school.
- HSAT arrangements will be underpinned by a HSAT Pathway Plan. The HSAT Pathway Plan details a planned approach to the student's learning (including VCE, VCE VM, VPC, VCAL and VET) and work program for the duration of the Apprenticeship or Traineeship. The HSAT Pathway Plan is developed and agreed to prior to the student entering a Training Contract with an employer. School based Head Start staff provide support to the Apprentice or Trainee and the employer for the duration of the Apprenticeship or Traineeship. The HSAT Pathway Plan is a working document that may be updated as needed during the HSAT and includes agreement of all relevant parties to the plan and a record of student's progress. The Training Plan must be included as an attachment to a Head Start Pathway Plan when it is developed.
- 6.8 The Training Plan must be developed by the Training Provider, the employer, and the Apprentice or Trainee, and in consultation with the secondary school.
- 6.9 The Training Provider must ensure that the Training Plan reflects the requirements of the [Approved Training Scheme](#) and VRQA [policy on minimum hours](#) per week of employment and training. Where appropriate, HSAT arrangements may include increased paid employment over the required seven hours per week to facilitate increased workplace experience and competency development.
- 6.10 An authorised representative of the student's school must sign the Training Plan to confirm:
- a) the student is enrolled in VCE, VCE VM, VPC or VCAL;
 - b) the student's study, training and work commitments form an integral part of that student's school learning program and study timetable, are consistent with the student's career aspirations as outlined in their career action plan; and
 - c) the Training Plan will not be detrimental to the student's overall education.
- 6.11 The Training Provider's timeframes must not impede the ability of the school representative to sign the Training Plan within two months of the commencement of the Training Contract.
- 6.12 The Training Provider must provide a copy of the signed Training Plan to the relevant Apprenticeship Network provider.
- 6.13 The Training Provider must provide the known results for HSAT subjects for the current enrolment year to the school one week prior to the October cut-off date to enable schools to enter results on the Victorian

Assessment Software System (VASS) in a timely manner. The exact cut-off date each year is published in the VCAA Administrative Handbook on the [VCAA website](#)

- 6.14 The Training Provider should consult the school about any proposed amendments to the Training Plan that may affect the Apprentice's or Trainee's senior secondary study program, including awarding of credit.

Note: A HSAT will be supported by a Head Start Coordinator who will liaise with the Training Provider to ensure the above actions take place. The Head Start Coordinator will also visit the workplace at least once each semester to ensure the student is progressing satisfactorily and will be the point of contact for the student and Training Provider if workplace issues arise.

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